Mail To: P.O. Box 8935

Madison, WI 53708-8935

FAX #: (608) 267-3816 Phone #: (608) 266-2112 1400 E. Washington Avenue Madison, WI 53703

E-Mail: web@drl.state.wi.us Website: http://www.drl.state.wi.us

ACCOUNTING EXAMINING BOARD

INSTRUCTION PACKET FOR CERTIFIED PUBLIC ACCOUNTANT CREDENTIAL

Enclosed are the forms for applying for a Certified Public Accountant credential. This is not the application if you want to apply for the CPA examination. Please call CPS Human Resource Services at (916) 263-3644, or go to their website at www.cps.ca.gov to request the examination application.

ALL APPLICANTS ARE REQUIRED TO SUBMIT THE FOLLOWING:

<u>APPLICATION</u> – All applicants for credential (licensure) as a Certified Public Accountant must complete an application for Certified Public Accountant Credential (Form #130). Please type or print all information when completing the application.

FEES – Please include a check or money order made payable to the Department of Regulation and Licensing for the fee under which you are qualifying for credential. The initial credential fee for an application by examination or transfer of examination credit from another jurisdiction is \$53.00 and a \$57.00 ethics examination fee for a total of \$110.00. The fee for an initial credential by endorsement (reciprocity) is \$59.00 and a \$57.00 ethics examination fee for a total of \$116.00. NOTE: New candidates who sat for the exam in Wisconsin in May 1996 or later, have already submitted the initial credential fee. DO NOT remit the credential fee again.

<u>PERSONAL CHRONOLOGICAL RESUMÉ OF ACCOUNTING EXPERIENCE (FORM #128)</u> - Provide a complete chronological listing of your background. The experience requirements are outlined in Chapter Accy 5, Wis. Admin. Code. Specify whether employment is full time or part time.

<u>VERIFICATION OF EMPLOYMENT AND EXPERIENCE EVALUATION (FORM #127)</u> - Provide a detailed experience evaluation form documenting a minimum of 12 months of acceptable experience to apply for a credential. Experience must have been acquired after the date of graduation. One copy is enclosed, you may make as many additional copies as needed. Type or print your name, sign and date Part I, and forward to your employer(s) to complete Part II. Your employer should complete Form #127 and return it to you so that you can submit it with your application. A position description must be included for each verification of employment.

EDUCATION - Official transcripts showing courses taken and degrees received are required. Transcripts must be sent by the college or university to you so you can submit the transcript with your application. Unofficial copies of transcripts are not acceptable. If you attended more than one school and transfer credits appear on the transcript from the school where the degree was received, it is not necessary to provide a transcript from the first school(s). NOTE: New candidates who sat for the exam in Wisconsin in May 1996 or later have been prequalified and your transcripts are on file. DO NOT remit the transcript again.

-OVER-

Coursework taken at a 2-year community or technical college is NOT acceptable unless it can be transferred to and appears on the transcripts of a 4-year bachelor degree-granting institution.

All foreign education must be evaluated by a credential evaluation service to determine if the education received at the foreign institute of learning is equivalent to a bachelor's or higher degree with a resident major in accounting from a school that is accredited by the North Central Association of Schools and Colleges or its regional equivalent. An official transcript must accompany the evaluation. A list of credential evaluation services is available from the board office or go to their website at www.naces.org/members.htm. All foreign candidates must complete a college-level course in United States Income Taxation and United States Business Law from a 4-year bachelor-degree granting institution.

ETHICS EXAMINATION - The ethics examination is required for all Wisconsin applicants. The ethics examination will be sent to you after we have received the application for credential (Form #130). The ethics exam must be returned before your application will be submitted for Board evaluation.

<u>VERIFICATION OF EXAMINATION SCORES OR REGISTRATION STATUS (FORM #131)</u> - Candidates applying by endorsement or transfer of examination credit from another jurisdiction are required to have the information verified by the registration agency in the other jurisdiction. Do not complete this form if you passed the examination in Wisconsin.

Complete Section I of the form and forward to the registration board in the other jurisdiction for completion. Exam scores and licensure status must be indicated on the form by the registration agency. This form must be returned to this office directly by the registration agency in the other jurisdiction. It is suggested that you provide a pre-addressed return envelope. Most state boards require a fee for completion of the verification form. Please contact your state board to determine if a fee is required.

TRANSFER OF CREDIT - Chapter Accy 7.04 Wis. Admin. Code specifies the requirements for transfer of examination credit from another jurisdiction.

ENDORSEMENT - Chapter Accy 7.05 and Accy 8, Wis. Admin. Code specifies the requirements for endorsement of a credential from another jurisdiction.

REVIEW DATES - Applications will be presented for evaluation when all required documents, including the ethics examination, are received.

Review Dates	Deadline Dates for Receipt of All Documents
January 17, 2003	January 3, 2003
March 21, 2003	March 7, 2003
May 16, 2003	May 2, 2003
August 15, 2003	August 1, 2003
October 17, 2003	October 3, 2003
December 12, 2003	November 28, 2003

These are tentative meeting dates and are subject to change.

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ACCOUNTING EXAMINING BOARD

Under Wisconsin law, the Department must deny your application if you are liable for delinquent state taxes or child support (sec. 440.12, Stats.).

APPLICATION FOR CERTIFIED PUBLIC ACCOUNTANT CREDENTIAL

Your name and address are available to the public. LEASE TYPE OR PRINT IN INK Check box if you wish your name & address withheld from lists of 10 or more credential holders (sec. 440.14, Stats.).						
Last Name	First Name		MI	Former / Maiden Name(s)		
Your Street Address (number, street, city, state	, zip)					
Mail To Address (if different)						
Date of Birth		Daytime Telep	hone l	Number		
month day yea	r					
Ethnic/gender status information is optional. Sex: M F	Ethnic:	☐ White, not of ☐ Black, not of ☐ Hispanic	_			
Have you ever held a license/credential in the s If yes, provide your Wisconsin license/credenti		n?	***************************************	YesNo (please indicate)		
QUALIFICATION: Place an "X" in ONE	space only indica	ating how you qu	alify.			
Examination (Completed all examination Transfer of Credit (Completed some Note: Wisconsin requirements for examination Endorsement (Credentialed/licensed	or all AICPA extamination must	taminations in an have been met.		,		
Credential Number	State		ate Ol	otained		
provide details: The	iting /LPR ory/FARE tice/ARE	(YR) (S (YR) (S	TATE TATE TATE	BY BY		
APPLICATION FEES: Please make che Regulation and Licensing and attach check to the		Department of		For Receipting Use Only		
☐ Initial License \$ 53.00 Credential fee required only if 1996 \$ 57.00 Ethics exam fee \$ 57.00 Total fee	you passed exa	m prior to May				
Transfer of Credit \$ 53.00 Initial credential fee \$ 57.00 Ethics exam fee \$ 110.00 Total fee						
Endorsement \$ 59.00 Initial credential fee \$ 57.00 Ethics exam fee \$ 116.00 Total fee						
#130 (Rev. 3/03) Ch 443 Stats				Page 1 of 3		

	ATION: (Official Transcripts Required)	D					
Colleges Attended		Date of Graduation	Major				
and the second s				······································			
STATE	EMENT OF ARREST OR CONVICTIO	(Attach addition	al sheets if necessary)	<u>YES</u>	NO		
A.	Have you ever been convicted of a mise (DWI), in this or any other state, or are yes, complete and attach Form #2252.	demeanor or a felony, or driv criminal charges currently per	ing while intoxicated ding against you? If				
В.	B. Have you ever surrendered, resigned, cancelled or been denied a professional license or other credential in Wisconsin or any other jurisdiction? If yes, give details on an attached sheet, including the name of the profession and the agency.						
C.	C. Has any licensing or other credentialing agency ever taken any disciplinary action against you, including but not limited to, any warning, reprimand, suspension, probation, limitation or revocation? If yes, attach a sheet providing details about the action, including the name of the credentialing agency and date of action.						
D.	D. Is disciplinary action pending against you in any jurisdiction? If yes, attach a sheet providing details about pending action, including the name of the agency and status of action.						
E.	E. Have any suits or claims ever been filed against you as a result of professional services? If yes, submit a copy of the claim or suit and a copy of the final settlement or disposition.						
F. Do you currently hold, or have you in the past held, any credential (license) issued by the Department of Regulation and Licensing or any of the Boards? If yes, what type of credential? And if in another name, what name?							
Note:	An arrest or conviction does not automat is subject to sec. 111.321, 111.322, and 1		Consideration of the rec	cord by t	he board		
	AFFID	AVIT OF APPLICAN	Γ				
strictly applica I am is	that I am the person referred to on the true in every respect. I understand ation may be grounds for revocation of ssued a credential, failure to comply we partment of Regulation and Licensing versions.	I that false or forged state my credential or other discip ith the laws or rules of eithe	ments made in conno linary action. I also u r the Accounting Exar	ection w nderstan	vith this id that if		
Signa	ture of Applicant		Date				

SOCIAL SECURITY NUMBER. Your social security number (or employer identification number if you are applying as a business entity) must be submitted with your application on this form. If you do not have a social security number you must submit a statement under oath or affirmation. If your social security number or a statement is not provided, your application will be denied. A form for submitting a statement that you do not have a social security number is available from the department.

(Please Print)					
First Name	Midd	le Initial	Last Name		
	Profes	ssion			
Date of Birth	month	day	year		
	-				
Soc	cial Security 1	Number or FE	IN		

The Department may not disclose the social security number collected above except to the Department of Workforce Development for purposes of administering the child and spousal support program,² to the Department of Revenue for the purpose of determining whether you are liable for delinquent taxes,³ and to the federal Healthcare Integrity and Protection Data Bank for the purpose of reporting adverse actions against health care practitioners.⁴

This form is authorized by secs. 440.12 and 440.14, Wis. Stats. Making a false statement in connection with this application may result in revocation or denial.

¹ Section 440.03 (11m), Wis. Stats.

² Sections 49.22, and 440.13, Wis. Stats.

³ Section 440.12, Wis. Stats.

⁴ Health Insurance Portability and Accountability Act (HIPAA) of 1996

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CONVICTIONS AND PENDING CHARGES

If you have been convicted of a crime or have criminal charges pending against you, complete this form and return it with your application. Include a \$6.00 Crime Information Bureau report fee in addition to your original application fees.

The Fair Employment Act (sections 111.31-111.395, Wis. Stats.) prohibits employment discrimination on the basis of conviction record or arrest record unless the circumstances of the conviction or arrest substantially relate to the circumstances of the particular job or licensed activity. The information requested on this form will be used to determine whether your application should be granted, approved with limitations, or denied. The information you provide on this form may be verified against criminal information records. Omission of information on this form will be considered a false statement on an application.

Profe	ession you are a	pplying i	for:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
Last 1	Name				First Name		MI	Former / Maiden Name(s)
Your	Street Address (r	number, s	treet, ci	ty, state,	zip)		J	
Mail	To Address (if di	fferent)				e e e e e e e e e e e e e e e e e e e		
Date	of Birth					Social Securi	ity Nu	umber
	month	day		year	·····	Information help	s us ider	entify your record, but is voluntary. It is not available to the publ
is rec	ic/gender informa juired to check cri mation records.	tion iminal	Sex:	□м □F	Ethnic:	☐ White, not of Black, not of Hispanic		
1.	List all other n	names us	ed:			·		
2.	in this state or each, list the o	any oth date and convict	er, who	ether then of the	e conviction reconviction. I	esulted from a Please include	plea o	of law of which you have ever been convicted of no contest or a guilty plea or verdict. For onvictions that involved alcohol or other drucklude municipal ordinance violations or other drucklude municipal ordinance violations or other drucklude.
	conviction ar chemical dep destroyed, yo	nd sente endency ou must	encing, y asses submi	and ve sments t a writ	erification of if ordered b tten description	your complia y the court.	nce v If the ense,	report or criminal complaint, judgment of with all terms of each sentence, including the conviction is old and records have been, along with an explanation of the penaltic
<u>OFF</u>	<u>FENSE</u>					DATE	<u>C</u>	CITY/STATE
	1 11 1 7	· · · · · · · · · · · · · · · · · · ·						
Attac	ch additional shee	et(s) if nec	cessary.					

#2252 (Rev. 02/02) Ch. 111, Stats.

3.	Have you ever been sentenced by a cor other drug assessment, treatment of	YES]	NO MO/YR COMPLETED □	
	Did you successfully complete the pr			
	Please attach the certificate of compl			
4.	Have you ever been sentenced to:	Check all that apply) Probation Parole Ordered to pay restituti		NO MO/YR COMPLETED
	Did you successfully complete one of	of the above as ordered by the cour	rt?	
If y desc	ou are <u>currently</u> on probation or cribing your current probation/parol List all felonies, misdemeanors, or which are <u>pending</u> . Submit a cop	le requirements and your comple other violations of state or feder	liance with supe al law for which	rvision. In you have been arrested and
	charges.	by of the police report eliminary	complaint for ca	on of the following penants
PEN	IDING CHARGE	DATE OF ARREST	LOCA	ATION OF ARREST (city/state)
Cor	nments you wish to make regarding yo	our convictions or pending charges	s. Attach another	r sheet if necessary.
		AFFIDAVIT OF APPLICAN	VT	
resp	nte that I am the person referred to in the pect. I understand that false or forged dential, or failing to provide relevant dential granted to me, or criminal prosess.	ed statements made in this docur information, may be grounds for	ment in connection denial of the	on with my application for a application, revocation of the
Sig	nature	Ī	Date	
Sig	ned and sworn before me this	day of		, 20
Sig	nature of Notary Public	Ī	Date	
Mv	commission (is permanent)	expires		SEAL

Wisconsin Department of Regulation & Licensing Mail To: P.O. Box 8935 Madison, WI 53708-8935 Madison, WI 53703 Licensing Madison, WI 53703

FAX #: Phone #: (608) 268-3816 (**608) 266-2112**

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ACCOUNTING EXAMINING BOARD

PERSONAL CHRONOLOGICAL RESUMÉ OF ACCOUNTING EXPERIENCE

Type or p	rint your na	ame		Date	
should b	e listed as	engagem	ttendance at a university or college should be listed tent 2, with subsequent experience in chronological of year under engagements. Enter total time claimed in	order. What you are doing toda	
ENGA	GEMENT	NO. 1	School Attended		
FROM:					
Month	Day	Year	Location		
то:			Degree Received	Major	
Month	Day	Year			
ENICA	OF LENGT	1310.4	F		
ENGA FROM:	GEMENT.	NO. 2	Employer		
	***************************************		Address of Employer		
Month	Day	Year	Towns CW - I. D. C	Ili.t. Ci	
то:			Type of Work Performed & Title/Position Held	Immediate Supervisor	×
Month	Day	Year	Duties/Extent of Experience & Responsibility		
					Hours Per Week Years Months
ENCA	CEMENT	NO 2	Employer		
FROM:	GEMENT	NO. 3	Employer		
		***************************************	Address of Employer		
Month	Day	Year	Type of Work Performed & Title/Position Held	Immediate Supervisor	
TO:			Type of work refronted & Thier ostion fred	minediate Supervisor	
Month	Day	Year	Duties/Extent of Experience & Responsibility		
					Hours Per Week
					Years Months

ENGA	GEMENT	NO. 4	Employer		
FROM:	***************************************				
3.61			Address of Employer		
Month	Day	Year	Type of Work Performed & Title/Position Held	Immediate Supervisor	
TO:			Type of work renormed & Title/Position Held	minediate Supervisor	
	******************************			·	
Month	Day	Year	Duties/Extent of Experience & Responsibility		
				•	Hours Per Week
					nouis rei week
					Years Months
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FROM:	GEMENT	NO. 5	Employer		
r KOM:			Address of Employer		
Month	Day	Year			
			Type of Work Performed & Title/Position Held	Immediate Supervisor	,
TO:					
Month	Day	Year	Duties/Extent of Experience & Responsibility		
	y		,		
4					Hours Per Week
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Month	————	Year	Address of Employer		
MOHH	Day	1 641	Type of Work Performed & Title/Position Held	Immediate Supervisor	
TO:			, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		

Month	Day	Year	Duties/Extent of Experience & Responsibility		
					Hours Per Week
			·		Trouis Ter Week
					Years Months
					rears wionins

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ACCOUNTING EXAMINING BOARD

VERIFICATION OF EMPLOYMENT AND EXPERIENCE EVALUATION

PART I: TO BE COMPLETED AND SIGNED BY CANDIDATE

TO PAST OR PRESENT EMPLOTES this form to the applicant. The	OYER: Please complete this V to information requested below is	erification of Employment and Experience Is required for processing the application.	Evaluation form. Return				
Applicant Name	Signature	2	Date				
DADEW TO DE COMM		ECENT EMBLOYED					
PART II: TO BE COMPLETED BY PAST OR PRESENT EMPLOYER APPLICANT NAME							
APPLICANT NAME							
FIRM NAME							
EMPLOYMENT PERIOD: F	ROM	ТО					
EMPLOYEE WORKED	EMPLOYEE WORKED						
Check here for extended absence during employment period; if absent, indicate type (extended illness, military or maternity leave); and indicate duration. NO ABSENCE YES DURATION: FROM (date) TO (date) EXPLAIN:							
Evaluator Name (Type or Print)		Evaluator's Signature					
Title		Date					
Type of Business		Telephone No.					
Firm Address (Street, Address, C	ity, State, Zip)						

EMPLOYER:	PLEASE COMPLE WORK EXPERIEN				CRIBE CANDIDATE'S		
SECTION A:	ACADEMIC POSITI PLEASE ATTACH A PO		ON.				
Accy 5.06 EXPE teaching is at the not qualify.	RIENCE IN TEACHING. T intermediate, advanced and s	he basic guideline follo pecialized level of acco	owed is that experi- ounting. Teaching	ence in teaching courses in areas	accounting is senior when other than accounting does		
(1) Are you a full-time accounting faculty member?							
(2) How many cr	edit hours of teaching per sem	ester is considered full-	time?				
(3) Is research co							
Course #	Course Title	Level	Credits per Course	Secs. per Semester	# of Semesters Taught		
SECTION B: INDUSTRY, GOVERNMENT, LAW & OTHER PLEASE ATTACH A POSITION DESCRIPTION.							
Po	osition Title	From (Date)	To (Date)	% of Time (100% if Full)		
		·					
SECTION C:	PUBLIC ACCOUNT PLEASE ATTACH A PO			TAL AUDIT	AGENCIES		
Po	osition Title	From (Date)	To (Date)	% of Time (100% if Full)		

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ACCOUNTING EXAMINING BOARD

VERIFICATION OF EXAMINATION OR REGISTRATION STATUS

SEC'	TION I:	Applicant is to co	-	d forward form to reg	istration agency that is	to complete Section II. Please		
Last	Name:			First Name:		MI:		
Stree	et Address:							
						Zip:		
Date	of Birth:							
			ate state(s) and date(s					
Orig	inal State o	of Licensure:		Credential Num				
SEC' A.	TION II: The abov		ncy is to complete this was registered as a Ce		ne Department of Regula ant.	tion and Licensing.		
В.		al Number	Date Issued is is not regi		1 Until	Basis of Registration (Exam, Comity, Other)		
C.			owing examinations in t LIST ALL GRADE			l in any way, please explain on		
	Exam Date	<u>e</u>	Law/LPR	Auditing/AUD	Practice/ARE	Theory/FARE		
D.	Is there as		on pending or was any Tyes, please give detail		_	ne above named individual?		
Form	n Completed	l By	***					
Title	***************************************				(BOARD SEAL)		
State	;	***************************************						
Date								

Mail To: P.O. Box 8935

Madison, WI 53708-8935

FAX #: (608) 267-1803 **Phone #: (608) 266-2112** 1400 E. Washington Avenue Madison, WI 53703

E-Mail: web@drl.state.wi.us Website: http://www.drl.state.wi.us

NOTICES

TIME FOR REVIEW AND DETERMINATION OF CREDENTIAL APPLICATIONS

Generally, a credentialing authority is required to make a determination on an original application for a credential within 60 business days after a completed application is received.^a An application is completed when all materials necessary to make a determination on the application and all materials requested by the licensing authority have been received.

PROCEDURES ON APPLICATION DENIAL

An applicant who receives a notice of denial may request a hearing to challenge the denial by filing a request with the appropriate board or the department within 45 days after the mailing of the notice of denial. The request must contain the applicant's name and address, the type of license sought, the reasons why a hearing is requested and a description of the mistake the applicant believes was made, if the applicant claims that the denial was based on a mistake of fact or law. Hearing procedures are specified in ch. RL 1 of the Wisconsin Administrative Code. A copy of ch. RL 1 is available at most public libraries, on the Internet through the index at http://www.legis.state.wi.us/rsb/code/rl/rl.html and may also be obtained from the department.

MAILING ADDRESS AND CHANGE OF ADDRESS

Credential holders may use a business address as a mailing address for department mail. A change of address must be reported to the department within 30 days.

PERSONALLY IDENTIFIABLE INFORMATION: USE AND AVAILABILITY

Information collected on an application form is required and will be used to determine eligibility for a credential or examination. It is not likely that the department will use information collected by these forms for other purposes.

Credentialing is a public process with a goal of identifying those competent to protect the public. The name, city, and status of credential holders are accessible at the Department's website at http://www.drl.state.wi.us/ under "Credential Holder Query." Information collected on application and examination forms is available for inspection to the public under Wisconsin laws governing public records.

AMERICANS WITH DISABILITIES ACT

The Department complies with the Americans With Disabilities Act of 1990. The Department will make reasonable modifications to policies, practices and procedures when modifications are necessary to avoid discrimination on the basis of disability and will make reasonable accommodations necessary to provide a qualified individual with a disability with equal access to department programs.

Communications and examinations: Individuals who need auxiliary aids for effective communication in programs and services or who wish to request special accommodations for examinations, please call (608) 266-2852 or TTY at (608) 267-2416.

Complaints: Procedures for alleging violations of the Americans with Disabilities Act of 1990 may be obtained by calling the Department's ADA Coordinator at (608) 266-8608 or TTY at (608) 267-2416.

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APPLICATION PACKET ADDENDUM (INTERNET)

ACCOUNTING EXAMINING BOARD

For the application packet that you have just downloaded, an ethics examination is required.

Please complete this form and fax it to the number listed above. Once the form is returned we will mail the Wisconsin ethics exam to the address you have provided. If you prefer, you can mail this form directly to the Department of Regulation and Licensing, P.O. Box 8935, Madison, WI 53708.

Wisconsin Statutes and Administrative Code.

For assistance with the Wisconsin ethics exam or for your information, you may access the Wisconsin Statutes and Administrative Code on the department's web site at www.drl.state.wi.us. If you do not have internet access, you may obtain this information through the public library.

If you would prefer to have a printed copy of this code book, you may purchase one directly from the department. Please submit this form along with a check in the amount of \$5.28 made payable to the Department of Regulation and Licensing (DRL) to the address listed above.

PLEASE PRINT OR TYPE

Full Name Daytime Phone Number	Receipting Use Only
Daytime Phone Number	
Street Address	
P.O. Box	
City, State, Zip	
Thank you.	

#2612 (9/03)